



# Regulatory Compliance

## Legal Services for Your Regulatory Compliance Needs

New York State is one of the highest regulated markets in the United States. There are codes, rules, and regulations for every industry imaginable - advertising and consumer rights, agriculture and markets, banking and financial services, economic development, education, environmental conservation, food and beverage, health, insurance, labor, and transportation - just to name a few.

Carter Conboy attorneys are at the forefront of regulatory issues affecting a host of industries. We provide our clients with the experience and knowledge necessary to traverse regulatory investigations that lead to compliance and favorable resolution of the issues.

## Accomplished Regulatory Attorneys

At Carter Conboy, our attorneys make it their business to know your business - from the intricacies of your company to industry-specific standards and regulations, to the uniqueness of each product, to the demographics of your consumers.

We take an interdisciplinary approach to our work, coordinating the most experienced and capable team of attorneys to address each client's distinct needs. As laws and regulations impact the spectrum of business - from advertising to compliance to cybersecurity - it is vital to retain a full-service law firm such as Carter Conboy with a deep bench, experience across sectors of industry, and the resources necessary to mount successful strategy and defense.

## Our Regulatory Clients

Carter Conboy clients are distinctive and equally important and regarded. We represent individual clients, small businesses, and companies with regional and statewide needs. We are local counsel to law firms outside of the upstate New York area, and national coordinating counsel to Fortune 500 companies.

Our clients include manufacturers, retailers, distributors, marketers, and employers from varied industries including medical devices; pharmaceuticals; aviation, transportation, and logistics; consumer products; food, beverage, and

consumer packaged goods; building and construction; financial services; and industrial machines and equipment.

## Regulatory Planning, Risk Management, and Claims Avoidance

Our attorneys stay current with the complex and ever-changing local, state, and federal codes, rules, and regulations to provide sound and trustworthy advice, strategy, and representation to our clients. We develop and implement effective compliance plans for our clients so that they can manage their business legally and compliantly. Our solutions help manage the risk associated with the development, design, manufacturing, advertising, marketing, and distribution of consumer products.

## Regulatory Investigations and Compliance

When a governmental agency believes you have been non-compliant with a regulatory law, they will act aggressively and methodically. Carter Conboy provides our clients with the experience and knowledge necessary to efficiently traverse regulatory investigations and enforcement proceedings. Our practiced attorneys negotiate with compliance agencies and defend against government investigation, including those brought by the Federal Trade Commission, the Food and Drug Administration, the United States Consumer Product Safety Commission, the United States Department of Justice, Department of Consumer Affairs, and New York State Office of the Attorney General.

## Occupational Safety & Health (OSHA)

You are required to keep abreast of regulatory changes, comprehend the complex issues involved, and be in full compliance. It is a daunting task for anyone, and having a support team on your side is vital. Fortunately, the attorneys at Carter Conboy are industry leaders, knowledgeable and informed on OSHA compliance regulations and obligations. We support you from the beginning by providing risk management counseling, safety training programs, and developing and implementing compliance procedures. We stay with you if an OSHA inspector arrives to guide you during the critical hours and days of inspection and throughout the emergency response. We defend and protect you to the end, in all instances of citations, charges, and litigation.

## Regulatory Compliance to the Construction Industry

Carter Conboy attorneys are leaders in the construction industry and have in depth knowledge of the laws and regulations effecting it. We deliver experience, knowledge, and strategic planning to our construction clients to avoid regulatory investigations. If investigations occur, we work with you through the complexities of the investigation and compliance.

## Food and Beverage Regulatory Compliance

You have built a product, a business, a brand, a reputation. In a complex world of quickly changing laws that regulate everything from advertising to distribution to consumer protection, we stand by you to protect and defend what you

have worked so hard to achieve. Our attorneys help you traverse and comply with complicated regulations, investigations, and enforcement proceedings.

## Regulatory Compliance to the Health Care Industry

Our attorneys keep our clients abreast of the ever-changing regulatory issues affecting the health care industry. Our preeminent health care practice delivers counsel on a wide range of regulatory issues, from investigations to compliance and enforcement actions, financial issues and transactions, and technology matters. We regularly represent clients before state and federal regulatory agencies, including the Office of Professional Misconduct (OPMC), Office of Professional Discipline (OPD), Office of the Attorney General, Office of the Inspector General, and governmental agencies for Medicare and Medicaid.

## Technology's Complex Regulatory Environment

At Carter Conboy, our attorneys have a regulatory background. As such, they are versed in cybersecurity requirements, particularly, the 2017 New York State Department of Financial Services Rule, 23 NYCRR Part 500, a cybersecurity regulation establishing requirements for financial services companies, including banks, insurance companies, and other financial services firms, and third-party service providers to those companies.

## The Most Recent Trucking and Transportation Regulations

Keeping you apprised of significant legal developments in the transportation is a priority. From fleet tracking systems, hours of service reform, and autonomous vehicles, we will help you stay ahead of industry trends, developments, and disruptions so you are ready and can prepare for what lies on the road ahead. Carter Conboy attorneys have an extensive understanding of the federal and state laws regulating your industry. We are actively involved in ALFA International Trucking and Transportation Practice Group, Trucking Insurance Defense Association (TIDA), and we are the preferred legal counsel in upstate New York to the Trucking Association of New York (TANY).

## Regulatory Counsel for Alternate and Renewable Energy

Carter Conboy supports real property developers with your regulatory issues involving wind, solar, and other alternative and renewable energy. We represent you before federal, state, and local agencies and boards on energy, environmental, siting, and related regulatory matters. We are well versed on New York State's Article 10 (and the original Article X), as well as SEQRA and NEPA concerning siting and environmental review, and have substantial experience with state and local municipal laws and practices related to alternate and renewable energies.

## Aviation's Complex Regulatory Environment

At Carter Conboy, our lawyers are knowledgeable in Federal Aviation Administration regulatory matters pertaining to aviation professionals and the flying public. The firm routinely represents pilots and operators before the FAA and the

National Transportation Safety Board for alleged violations of federal aviation regulations. Additionally, we counsel clients on licensing issues, regulatory compliance, and defense of enforcement matters including matters with the U.S. Department of Transportation, Department of Justice, Department of Health and Human Services, and Customs and Border Protection.

## Beyond Regulatory Compliance

At Carter Conboy, we are able to support you beyond your regulatory compliance needs. Our team of experienced and dedicated attorneys, combined with our diversified practice spanning 20 industries and 40 service areas, have you covered. From preparing your will, to defending you in litigation, to protecting your business interests, the strength of our team is the answer to your many legal needs.

## Related Industries

- Aviation
- Food, Beverage and CPGs
- Hospitality and Retail
- Manufacturing
- Small Business
- Technology and Cyber
- Transportation

## Related News

- Adam Cooper Featured in Albany Business Review on Manufacturing
- Risky Business? Avoiding Pitfalls at Your Taproom, Brewery, and Beyond
- Client Advisory: Attention Banks, Insurance Companies and Other Financial Services Institutions - Be Prepared for New Cybersecurity Rules
- The Lanham Act and the Food, Drug, and Cosmetic Act: When Private Pharmaceutical Litigation Compliments FDA Regulatory Enforcement