



---

# Financial Services

## Legal Counsel to the Financial Services Industry

The financial services industry plays a vital role in our economy and its products are essential to the success of many business and personal transactions. Delivering financial services in a time of frequent regulatory change, however, can be challenging. We help financial services companies and professionals to avoid or mitigate the risks associated with their business.

## Protecting and Enforcing Your Rights

At Carter Conboy, our attorneys are leaders in the evolving financial services market. Our leadership, combined with our in-depth knowledge and appreciation of the legal nuances governing financial services, enable us to recognize issues before they occur, turning challenges into opportunities. It is our business to know your business - from operations to planning and regulatory compliance - we recognize your distinct needs and goals. We balance the weight of the law with a strategic, results-oriented approach, to effectively and cost-consciously advance objectives and protect your business and reputation.

Many of our financial services clients have been with us for decades. We work to earn this trust and loyalty by providing quality services in a professional, personal and timely manner.

## Creditors' Rights Law and Litigation

Enforcing a creditor's rights under New York law can be a complicated undertaking. To obtain the best results, you need experienced attorneys who understand the big picture. Whether representing Fortune 500 companies or family owned businesses, our creditors' rights attorneys offer creative, cost-effective ways to protect your assets, enforce your property rights, and minimize the financial losses that can result from breached contracts, defaulted loans, bankruptcies, and other adverse financial events.

## Real Estate Finance

At Carter Conboy, we are privileged to represent banks, credit unions, and lenders throughout our community. We regularly represent financial institutions in negotiation, documentation, and closing of residential and commercial real estate loans. We also structure a variety of real estate development loans, construction loans, and acquisition loans for all types of properties.

## Regulatory Compliance

The financial services industry is one of the most highly regulated industries in the United States. At Carter Conboy, we understand the importance of compliance to both your business reputation and your bottom line. Our attorneys help you identify risks and create effective compliance solutions. And should your compliance be called into question, we help you conduct internal investigations, respond to regulatory inquiries and defend against enforcement proceedings.

## The American Legal and Financial Network (ALFN)

As a member of ALFN, Carter Conboy is on the forefront of groundbreaking strategic approaches, quality education, innovation, and change in the mortgage banking and financial services industry, allowing us to provide our clients with unsurpassed legal representation. The American Legal & Financial Network (ALFN) is the largest national network of law firms and service providers dedicated to mortgage banking and financial services law and litigation.

Read more about the value-added benefits our [ALFN membership](#) provides our clients.

## Related Services

- Banking and Financial Services Law
- Business and Commercial Law
- Creditors' Rights Law
- Critical Incident Response Team
- Education Law
- Family and Matrimonial Law
- Land Use, Zoning and Real Property
- Local Counsel
- Real Estate Law
- Startups
- Title Insurance Law
- Wills, Trusts and Estates
- Youth Sports Organizations

## Related News

- Creditors' Rights Victory in Mass Financial Tort Claim Suit
- Carter Conboy Attorneys Speak on Consumer Debt and Collection Law at National Business Institute (NBI) Seminar
- Michael J. Catalfimo Speaks to NYS Sheriffs' Association on Collection Law